

# Representative Agricultural Pathways and Scenarios for Regional Integrated Assessment of Climate Change Impact, Vulnerability and Adaptation<sup>1</sup>

Roberto O. Valdivia, John M. Antle, C. Rosenzweig, A.C. Ruane, J. Vervoot, M. Ashfaq, I. Hathie, S.H.-K. Tui, R. Mulwa, C. Nhemachena, P. Ponnusamy, H. Rasnayaka, and H. Singh

Draft, May 2014

## Introduction

The global change research community has recognized that new pathway and scenario concepts are needed to implement impact and vulnerability assessment that is logically consistent across local, regional and global scales (Moss et al. 2008, 2010). For global climate models, Representative Concentration Pathways (RCPs) have been developed (Moss et al. 2008, 2010; van Vuuren et al. 2011); for impact and vulnerability assessment, new socio-economic pathway and scenario concepts have also been developed (Kriegler et al. 2012; van Vuuren et al. 2012), with leadership from the Integrated Assessment Modeling Consortium (IAMC). “The new scenarios will provide quantitative and qualitative narrative descriptions of socioeconomic reference conditions that underlie challenges to mitigation and adaptation, and combine those with projections of future emissions and climate change, and with mitigation and adaptation policies. They will provide a framework for underpinning, creating, and comparing sectoral and regional narratives.” (Carter et al. 2012, p. 5).

This chapter presents concepts and methods for development of regional Representative Agricultural Pathways and Scenarios (RAPS) that can be used for agricultural model inter-comparison, improvement and impact assessment in a manner consistent with the new global pathways and scenarios.<sup>2</sup> The development of agriculture-specific pathways and scenarios is motivated by the need for a protocol-based approach to climate impact, vulnerability and adaptation assessment. Until now, the various global and regional models used for agricultural

---

<sup>1</sup> Forthcoming in *Handbook of Climate Change and Agroecosystems*, edited by D. Hillel and C. Rosenzweig. **Please do not circulate or quote without permission.**

<sup>2</sup> In the following section we provide definitions that clarify the difference between pathways and scenarios as we use them in this article.

impact assessment have been implemented with individualized scenarios using various data and model structures, often without transparent documentation or public availability. These practices have reduced the credibility of assessments, and also hampered the advancement of the science through model inter-comparison, improvement, and synthesis of model results across studies (see, e.g., Easterling et al. 2007; Nelson et al. 2014). The recognition of the need for better coordination among the agricultural modeling community (AMC), including the development of standard reference scenarios with adequate agriculture-specific detail, led to the creation of the Agricultural Model Inter-comparison and Improvement Project (AgMIP) in 2010. The development of RAPS is one of the “cross-cutting themes” in AgMIP’s work plan, and has been the subject of ongoing work by AgMIP since its creation (Rosenzweig et al. 2012, Antle et al. 2014).

The first section of this chapter presents the concepts underlying AgMIP’s development of RAPS at global, regional and local scales. The second section provides a detailed description of the methods used to develop regional RAPS by the AgMIP regional teams. The third section presents a summary of the regional teams’ RAPS, their implications for climate impact assessment and adaptation, and discusses lessons learned from the experiences that the regional teams had in implementing the RAPS development process. The final section summarizes and draws implications for future regional RAPS development and use.

### **The Conceptual Framework for RAPS Development**

In this section we first describe briefly the new global pathway and scenario concepts that have been developed for use with global integrated assessment models. Then we present AgMIP’s global and regional integrated assessment framework and discuss the central role that RAPS play in it. Finally, we summarize some of the conceptual issues that arise in constructing sector-specific and region-specific pathways that link to global pathways.

#### ***Pathway Architecture: RCPs and SSPs***

The parallel development of new emissions and socio-economic pathways is intended to ameliorate inconsistencies at the aggregate, global scale. Figure 1 presents a scenario matrix

showing how RCPs and “Shared Socio-Economic Pathways” (SSPs) proposed by Kriegler et al. (2012) could be combined. As this matrix implies, RCPs and SSPs are designed to be independent dimensions, to reflect the fact that a particular emissions trajectory could correspond to various socio-economic conditions that cause and are caused by greenhouse gases and the resulting climate change. Thus, various socio-economic scenarios could be designed to represent, say, future worlds with either low or high emissions combined with various levels of economic activity and types of mitigation and adaptation capabilities and policies. As Figure 1 also indicates by the shading, some combinations of RCPs and SSPs may not be plausible (say, very low emissions with very high economic growth). As with the SRES scenarios, a key feature of SSPs is a set of corresponding narratives containing the rationale for the features of the pathway. Researchers can use these narratives to interpret the pathway logic, a feature important for the “sharing” or using the pathways for different types of research, and also for developing sector- and region-specific versions such as the agricultural pathways we discuss in the next section.

Socio-economic pathways are multi-dimensional concepts that embody economic and social development, adaptation and mitigation capability, and non-climate policy dimensions. To incorporate climate policy dimensions, researchers have proposed “Shared Climate Policy Assumption” as another set of dimensions of an impact assessment (Kriegler et al, 2014). For communication with the research community and stakeholders, it is useful to be able to represent these multi-dimensional concepts in a 2-dimensional form. Figure 2 shows an SSP matrix that defines five possible SSPs in terms of different degrees of “challenges to adaptation” and “challenges to mitigation” as well as other features of socio-economic development. These five SSPs have become the basis for quantification of key drivers, such as population, economic growth, urbanization, education, and land use (International Institute for Applied Systems Analysis 2012). Narratives associated with these SSPs can be found in O’Neill et al. (2012).

Two key features of the new global pathway developments should be emphasized. First, it is assumed that socio-economic pathways can be defined in a way that is largely independent of the emissions pathway and associated changes in climate that occur – this is the logical basis for the “matrix architecture” of the RCPs and SSPs presented in Figure 1. Second, the characterization

of SSPs is fundamentally “climate centric” by being defined in relation to climate adaptation and mitigation challenges.

### ***The Role of RAPs in AgMIP’s Global and Regional Integrated Assessment Framework***

Building on AgMIP’s integrated assessment framework (Rosenzweig et al. 2012), Figure 3 provides a stylized representation of the linkages between global climate models and data, global IAMs, and global and regional agricultural models used for climate impact, adaptation, mitigation and vulnerability assessment. This figure shows the hierarchical structure of the relationships between global and regional data and models, and between aggregate and disaggregate (“regional”) data and models. Dashed boxes represent model outputs at each level which serve as inputs for lower-level (sectoral or regional) models. However, these higher-level outputs are not sufficient to implement the lower-level models, so they are augmented by variables derived from pathways developed for each level of analysis. Moving from top to bottom, Figure 3 represents different geographic scales (global to regional to local), and the three columns of the figure represent biophysical models and data (left-hand column), bio-physical and socio-economic pathways (center), and impact models (right-hand column).

The top of the figure represents the main components of global integrated assessments. RCPs and GCMs combine to generate climate outputs on a global gridded basis. These climate outputs are combined with inputs from global socio-economic pathways, such as projected rates of economic growth and population, macro-economic and trade policy parameters, and climate policy assumptions, which serve as inputs into global IAMs. These global IAMs typically generate global and multi-country or country economic outcomes such as production, prices and incomes; some models also simulate certain biological or physical outcomes such as changes in land use or land cover. Depending on the type of model, these outcomes may be generated for multi-country regions, by country or sub-regions of a country (Antle et al. 2015).

Agricultural assessment models operate at both global and regional scales. At the global level, bio-physical production system models can be simulated on a gridded basis (Rosenzweig et al. 2013; Havlik et al. 2014) or on a point basis and then aggregated (Ewert et al. 2011). In some

cases, these models are used to generate inputs for partial- or general-equilibrium agricultural economic models (Nelson et al. 2010, 2014). These models may use outputs from the global IAMs (e.g., prices of energy, income), or may use some of the same drivers from the global socio-economic pathways that global IAMs use such as GDP growth rates and population growth rates. However, both agricultural production system models (PSMs), including crop and livestock simulation models, and agricultural economic models (AEMs), require additional inputs that are not provided by global IAMs. These variables include technology or productivity growth rates for individual outputs (crops, livestock), more detailed food-specific demand elasticities, and agriculture-specific inputs as labor, machinery, seed, fertilizers, irrigation water and fuels. In addition, agriculture-specific policy parameters may be needed, e.g., for domestic output or input taxes or subsidies, and parameters for trade policy (e.g., tariffs). Thus, global RAPs are needed which are consistent with global socio-economic pathways but which provide the additional sector-specific detail needed to implement PSMs and AEMs.

The bio-physical component of the assessment framework beyond the GCM outputs involves several components. First, regional climate models or downscaling of gridded GCM outputs to higher spatial and temporal resolution is needed to serve as inputs to global gridded PSMs and regional gridded or point-based PSMs. In addition, the framework may include a water component (e.g., the SWAT model), or a soil erosion component (e.g., using the EPIC model). These models may be implemented on a global basis, as is done for water supply-demand in the IMPACT AEM model (Rosegrant et al. 2012), or may be done on a gridded basis as is done with EPIC in the GLOBIOM AEM model (Havlik et al. 2014). Similar model linkages may be done on a national or sub-national model, as with the FASOM model for the United States (Ohrel et al. 2010) or the TOA-ME model (Valdivia, Stoorvogel and Antle 2012). At both the global and regional scales, these models may involve drivers such as details of land use or water use that are not available from higher-level models, and thus need to be specified as part of a RAP.

Global AEMs generate projections of globally consistent market equilibrium commodity-specific prices, yields and acreages that can be used as drivers for regional AEMs that do not solve for global equilibria. There are various types of regional AEMs, ranging from representative farm optimization models, regional optimization models (e.g., Merel and Howitt 2014), regional

technology adoption and impact assessment models such as TOA-MD (Antle 2011; Antle and Valdivia, 2011; Antle, Stoorvogel and Valdivia 2014), regional land use models (Wu et al. 2004), and national partial equilibrium economic models such as FASOM (Ohrel et al. 2010) or the SEAMLESS-IF system developed for the European Union region (van Ittersum et al. 2008). These models may utilize variables from global models as drivers, notably prices, productivity and land use.

At the regional level, some AEMs continue to be formulated on a commodity basis, but some models represent production of crops and livestock as integrated systems. Some models also incorporate a household production component, as well as non-agricultural income generating activities. Generally, models do not exist to project this level of detail for model inputs and thus inputs must be addressed using RAPs. Essential details typically include input cost or use by type of production activity, including livestock; some models also require data on farm and household characteristics such as farm size and number of people in the household, as well as non-agricultural income. Some models require detailed use on farm labor, including household members and hired workers. Greater detail on policy parameters, such as domestic output, input and environmental subsidies may be needed, as well as parameters related to climate mitigation policy. When these models are linked to PSMs, details on management inputs are also required for those models. A major limitation of most PSMs is that they are not capable of simulating the effects of pests and diseases on crops or livestock. Therefore, an important topic for trans-disciplinary collaboration is to address the potential for new pests and diseases to impact the production system being modeled, and how these pests and diseases may be managed. In the mean time, pest and diseases can be addressed using RAPs.

### ***Implications for RAPs Design***

The framework in Figure 3 has a number of important implications for the design of RAPs (Antle et al 2014).

*Is the Matrix Architecture Useful for RAPs?* The integrated assessment framework presented above raises questions about the usefulness of the “matrix” architecture proposed for the

development of RCPs and SSPs at the global scale. As figure 3 implies, the issues of spatial and temporal scale, and associated issues of aggregation and dis-aggregation, must be addressed when pathways and scenarios are linked across scales. The effect of this linkage across scales is to blur the distinction between “drivers” and “outcomes” that underlies the pathway concept. For example, consider the role that prices play in the global, regional and local scales. Whereas the price of a commodity like wheat is determined by global markets, and thus is an outcome of global models, it plays the role of an input or driver at the regional or local scale. Thus, because the global models determine prices as functions of specific RCPs, if prices are considered to be part of a RAP then the RAP cannot be independent of the RCP. Similar issues arise with policies, e.g., climate mitigation policy, which would be expected to interact dynamically with emissions and thus with the rate of climate change. Likewise, elements of RAPs could include biological processes such as the spread of pests and diseases which are determined in part by climate.

A response to this criticism could be that RAPs are meant to be elements of the future world that can be defined independently of climate, and that climate-specific elements should be part of “scenarios” that are based on a RAP. However, if the many key features of the future world are climate-related, then one can question how useful it is to define “pathways” separately from “scenarios.” As figure 1 shows, the farther down ones goes from global to regional and local scale, the more climate-dependent elements there are likely to be in an analysis, and thus the less useful is the matrix architecture.

*Should RAPs be Climate Centric?* Agricultural impact models depend strongly on both bio-physical and socio-economic drivers, and historically agriculture has undergone rapid technological change that has induced large changes in the economic organization of agriculture. As a result, previous studies have consistently shown that trends in non-climate factors, such as population growth and technological change, are likely to have a large influence on agricultural production and related human well-being (Parry et al. 2004, Nelson et al. 2010). Accordingly, the framework proposed by Antle et al. (2014) for the development of RAPs (elaborated below) is based on the characterization of key bio-physical and socio-economic drivers. This approach contrasts with the climate-centric global pathway and scenario framework described above that

emphasizes “challenges to adaptation” and “challenges to mitigation” as key dimensions guiding global pathway development for use in global integrated assessment models.

*RAPs as a Process to Facilitate Trans-disciplinary Research.* AgMIP’s experience with the implementation of RAPs has shown that it is a fundamentally *trans-disciplinary process* that brings together the various elements of a research team. As Figure 3 shows, the RAPs are a central element of the research design, and as a result, the RAPs development process facilitates the overall design and also improves the communication among the research team that is essential to implement the regional integrated assessment methodology described in Chapter XX of this volume. Another key element of the RAPs process is that it brings stakeholders into the research design process at an early stage. This close linkage to stakeholders helps to ensure the legitimacy, credibility and salience of RAPs to users, key traits of scenarios used in multi-stakeholder environments (Cash et al. 2003).

#### ***Trans-Disciplinary Pathways: Combining Bio-Physical and Socio-Economic Dimensions***

One of the key motivations for the new pathways concepts has been the growing recognition of a need for a more integrative or parallel process to develop projections of emissions and socio-economic development. AgMIP’s experience in developing RAPs shows that this process must be not only parallel but *trans-disciplinary*, meaning that it needs to involve an integrative process of collaboration among disciplines to produce outcomes that transcend what can be achieved by individual disciplines, or by simple passing of data or other information from one disciplinary researcher or group to another. The need for a trans-disciplinary approach is motivated, firstly, by the fact that agricultural pathways need to address key bio-physical dimensions important to agriculture, as discussed above. Moreover, a trans-disciplinary approach is needed to ensure logical consistency between model components at a given spatial and temporal scale, as well as across scales (see figure 4). As the discussion of figure 3 showed, this need for a trans-disciplinary approach increases as we move from the highly aggregate level at which global pathways and scenarios are developed and used in models, to the dis-aggregate sectoral, regional and local levels at which analysis of climate impact and adaptation also needs to be carried out.

Figure 5 portrays five possible RAPs corresponding to combinations of low and high economic development and bio-physical conditions. In contrast to figures 1 and 2, the axes are defined in positive terms. RAP 1 is the case of adverse synergies resulting in low outcomes in both dimensions, which might occur if persistently high population growth led to both poverty and environmental degradation as is true in some counties today. RAP 3 is described as the opposite case of win-win synergies in both dimensions and thus represents sustainable high growth, e.g., a shift to soil- and water-conserving tillage systems that also achieve high productivity. RAPs 4 and 5 represent cases of strong tradeoffs between economic and environmental outcomes. RAP 4 could correspond to a case of policies that achieve environmental protection by severely restricting economic activity; RAP 5 might correspond to the continuation of present trends in some industrialized countries where productivity growth continues at a high level by continuing to exploit natural resources in an unsustainable manner. This latter example illustrates that the time horizon of the RAP is a crucial element, since RAP 5 might be a plausible option in the near term but not feasible in the longer run if the high rate of economic growth depends on an unsustainable rate of depletion of natural resources such as soil, water or biodiversity.

As we noted above, a basic question about this type of RAPs design is whether they can be defined independently of emissions scenarios (RCPs). In our view, this may be a useful way to think about global RAPs, although even at this level, defining elements such as water resources independently of climate scenarios seems questionable. As the research focus moves to regional and local scales, we find that this decoupling is less useful.

### ***Why “Representative” Agricultural Pathways and Scenarios?***

Some of the developers of new socio-economic pathways felt it was important to designate them as “shared” rather than “representative” because they are designed to be multi-dimensional and thus are not easily related to a single or a small number of variables (in contrast to RCPs which operate on one dimension, greenhouse gas emissions) (Kriegler et al. 2012). There is also the hope that many socio-economic pathways might be created so that researchers can select from a “menu” of alternatives. Additionally, some scenario researchers think that there is a tendency to develop future scenarios that are too much like the present, and fail to consider possible “surprises” or possibly unlikely but potentially important alternative futures (van Notten et al. 2005). While these are important considerations, we take the view here that there are also good

reasons to propose the development of “representative” socio-economic pathways and *a fortiori* representative agricultural pathways. Two critical, practical issues lead us to this approach; we refer these issues as the aggregation and dimensionality problems.

*Aggregation.* Global climate models project climate outcomes that are typically aggregated spatially and temporally (e.g., monthly data for 50 km grid cells). Aggregate economic models are based on data that are aggregated across large numbers of producers and consumers. After these models are simulated, data are typically “down-scaled” or disaggregated to smaller grid cells or other spatial units. Similarly, construction of socio-economic pathways and scenarios requires some form of spatial “downscaling” or dis-aggregation of global trends to sub-global regions, typically to national scales, and then further to sub-national regions for impact, adaptation and vulnerability analysis. This problem was recognized in early climate impact assessment work, and “linear” methods were used that were based on the assumption that all units in a region followed trajectories in proportion to the aggregate value (Gaffin et al. 2004). We observe that the need for “downscaling” is driven by the use of aggregated data and models, and thus we might better describe the problem as one of “dis-aggregation.” As noted by O’Neill et al. (2012), there is a need for a process by which more flexible and meaningful dis-aggregation can be implemented to create global pathways and scenarios. For example, the first set of SSPs developed by the IAMC, contain global population and GDP growth rates as well as national growth rates (International Institute for Applied Systems Analysis 2012). Linking global pathways to sub-global (say, national) pathways is a way to meaningfully dis-aggregate so that the sub-global variables are consistent with plausible local storylines, and are not arbitrary values from mechanistic downscaling rules (Zurek and Henrichs 2007).

*Dimensionality.* However, aggregation and dis-aggregation are done, one goal of scenario analysis is to understand the sensitivity of results to scenario assumptions, implying the use of multiple scenarios. Even if this is for a small number of alternative regional pathways for each major global trend, given the number of regions in the world there will be a large number of possible combinations of all trajectories (for example, the current attempt to establish population and GDP trajectories for SSPs are developing national data). Additionally, when we consider that there are multiple RCPs and many climate models, the number of different scenarios to be

considered is large. If in addition, an ensemble approach is taken to the integrated assessment models, the number becomes larger yet. When we then consider multiple regional pathways, and multiple sub-regions, adaptation scenarios, mitigation and other policy scenarios, the dimensionality rapidly multiplies.

Inevitably, it will be a practical necessity to develop a small number of pathways for shared use as reference scenarios and for standards of inter-comparison, model improvement and impact assessment. Of course individual teams can design and use as many pathways and scenarios as they wish, but our view is that the "standard" ones will inevitably become the ones that are considered to be representative of major plausible development pathways, much as a subset of the SRES scenarios have been widely used. We note that research teams can develop other pathway concepts that may be considered "wildcards" or "outliers" to test how climate impacts or adaptation may play out under, e.g., more extreme conditions, but we suspect that these outlier pathways will be less widely used by large numbers of research teams, and will not be considered useful reference pathways.

We suspect that if many different pathways are developed, researchers will find that many of them do not result in substantially different implications for impact assessment or adaptation analysis. Thus, what we would see as most useful is a small number of pathways that represent substantially different outcomes for key socio-economic variables. For example, one can imagine a world in which real agricultural commodity prices (prices adjusted for inflation) continue the downward trends observed during the 20<sup>th</sup> Century; and one can also imagine that the world is currently at a turning point, and that real agricultural commodity prices will be on an upward trend during the 21<sup>st</sup> Century (indeed, some global agricultural models predict decreases and some predict increases) (Nelson et al. 2014).

### **Designing Regional RAPs**

RAPs must be designed to be part of a logically consistent set of drivers and outcomes from global to regional and local, as illustrated in Figures 3 and 4. To create pathways and corresponding scenarios at global, regional or local scales, teams of scientists and other experts with knowledge of the agricultural systems and regions work together through a step-wise

process similar to the “Story and Scenario” approach (Alcamo 2008). Valdivia and Antle (2012) have developed an Excel spreadsheet tool called DevRAPS (in Beta version) to facilitate this process (Figure 6). DevRAPS provides a structure to guide this process and to record and document the information systematically, and then use it to develop model-specific quantitative scenarios. For example, a version has been designed to provide a structured format for the parameters of the TOA-MD model (Antle and Valdivia, 2011) and crop simulation models; the DevRAPS tool can be modified easily to fit other bio-physical and economic models.

To implement RAPs following the matrix in Figure 6, the team defines a general narrative of the RAPs, identifies socio-economic indicators and develops narratives and quantitative information for them, incorporating appropriate expertise from within the team and also recruiting outside expertise as feasible. Using that format, the team can follow a series of steps for RAPs development:

1. *Define time period for analysis:* For example, AgMIP has designated three “time slices” in the 21<sup>st</sup> Century for analysis, early-century (2005-2039), mid-century (2040-2069) and late-Century (2070-2099).
2. *Select higher-level pathways:* Following the concept of a nested approach, relevant narratives and quantitative information from selected higher level pathways (e.g. SSPs, Global RAPs) need to be extracted.
3. *Identify variables from higher-level pathways and models.* Selected output variables from higher-level models (e.g. prices, productivity trends and land use change data from global models) can be used as drivers or inputs for regional model.
4. *RAPs research process:*
  - a. First meeting:
    - i. Start with a “Business as usual” (BAU) RAP
    - ii. Team members identify key parameters that will likely be affected by higher level pathways and draft RAP narrative
    - iii. Team members are assigned variables for research
    - iv. Team members conduct research –use of templates for reporting and supporting documentation. These templates can be distributed to experts for feedback

- b. Second meeting:
  - i. Team members report findings and discuss storylines for each variable
  - ii. BAU RAP is finalized using the DevRAP tool and complete the following information:
    1. Complete information for each parameter:
    2. Direction, magnitude & rate of change
    3. Narrative logic for changes
    4. Check for internal consistency with higher-level pathways and models' variables
    5. Level of agreement among participants
    6. If level of agreement is low, repeat process until acceptable levels are achieved.
    7. Assess whether one or more parameters need to be revised by other experts or selected for sensitivity analysis.
    8. Document source of information (pathway, model, literature, expert).
  - iii. *Additional RAPs are identified*
  - iv. *Process similar to BAU is carried out with additional background research*
- c. *Meeting(s) to create additional RAPs –Follow similar steps as in a and b*
- d. *RAPs distributed to stakeholders and outside experts*
- 5. *Modelers develop scenarios.* The modeling team utilizes the pathway variables, along with other data, to set model parameters. For each pathway, multiple scenarios are possible, e.g., the modeling team can design a sensitivity analysis by varying parameters over a range consistent with a RAP, or in the context of assessing impacts of climate change, multiple scenarios can be developed to test different adaptation strategies.

### ***RAPs and Scenario Documentation to Address the Reproducibility and Conversion Problems***

Two key problems in the SAS-type scenario analysis are caused by the element of subjective judgment needed by a group to translate RAPs into specific model scenarios. There is a one-to-many relation: by design, many different scenarios are consistent with a RAP. The DevRAPs

tool was developed as a way to address this problem, by structuring and documenting the RAP information and how it is translated into scenarios (model parameters). The DevRAPS tool also should address the “conversion problem” in scenario analysis, i.e., how qualitative and more general information in a RAP is translated into specific values of model parameters. It could be coupled with additional techniques, such as the use of Bayesian methods (Kemp-Benedict 2010) or fuzzy logic (Alcamo 2008).

### ***Documentation and Sharing of RAPs and Scenarios***

In the spirit of “shared socio-economic pathways,” one of the goals of socio-economic pathway and scenario development is to create public goods. Moreover, as we noted above, an important challenge in pathway and scenario design is addressing the aggregation and dis-aggregation or downscaling problems. An iterative, parallel process of global and regional RAPs development would be a way to address this problem in place of mechanistic down-scaling. To facilitate this process, it is essential for RAPs and scenarios to be created, documented and made accessible at low cost to the research community. There are various possible ways for this process to be implemented. Various organizations, including AgMIP, could archive scenarios and make them publicly available. Data storage systems such as the Dataverse Network may be available. An approach for both global SSPs as well as sector-specific pathways needs to be developed by the research community.

### **RAPs Developed by AgMIP’s Regional Teams in Sub-Saharan Africa and South Asia**

One of the key components of AgMIP’s regional integrated assessment framework is the development and implementation of RAPs (see figure 3, and RIA Chapter). AgMIP Regional Research Teams (RRTs) in Sub-Saharan Africa and South Asia are developing and implementing RAPs to incorporate future bio-physical and socio-economic conditions into their regional impact and adaptation assessments reported in this book. In this section we summarize these RAPs and discuss issues about the development process, outcomes and future plans as reported by the RRTs.

*Regional RAPs and Higher-Level Pathways.* As discussed above, RAPs should be designed to be linked to global socio-economic pathways in a logical hierarchical structure (see figure 4). AgMIP RRTs have created RAPs that are consistent with SSP 2 (O'Neill, 2012). The period of analysis selected was mid-century (2040-2069). Regional RAPs must incorporate trends (e.g. yield and price trends) to translate current production systems into the future conditions defined by the RAPs. Ideally this information should come from global RAPs and global economic models, however global RAPs have not been developed yet. The teams have used data from the IMPACT global model, which was part of an inter-comparison of nine global agricultural economic models. This activity was led by AgMIP and is currently being used as the basis for the development of global RAPs and global impact assessments. Some of the RRTs have also used information from multi-country scenarios developed by the Climate Change, Agriculture and Food Security program of the CGIAR (CCAFS) for East and West Africa and South Asia (Chaudhury et al. 2012).

*Type of RAPs.* The strategy for designing regional RAPs was to start with a RAP that represents the case of “business as usual” (BAU) or current trends continued. Depending on the current conditions, stakeholder’s perspectives and research from scientists that participated in the RAPs development, the resulting narratives represented trends for higher or lower rates of economic development. The results show that in most cases the teams have developed higher development pathways that would be consistent with the description of RAP 2 in figure 5 (see table 1).

*RAPs Development Process.* Most of the teams have followed the iterative approach to develop RAPs (see above). They have held between 2-3 meetings to develop one RAP where they used the first meeting to define a list of key indicators and to assign lead persons to conduct research on each indicator. A second meeting was focused on presenting findings and discussing the storylines for each indicator. In some cases this meeting included external researchers or invited experts and stakeholders. A third meeting was organized to present the RAPs to stakeholders and obtain their feedback. In some cases such as the Pakistani and CLIP teams, stakeholders have been involved earlier in the process of RAPs (see table 2). In addition some teams have organized a fourth meeting to revise and finalize the RAP and also to conceptualize and begin the process to develop alternative RAPs.

*RAPs Narratives, Key Variables and Trends.* Table 3 shows the full RAP narratives developed by the RRTs in SSA and SA. These narratives have several interesting points in common. They all emphasize the key role of governments and agricultural policy. Public and private investment in R&D is also key element of future socio-economic conditions. These RAPs also express a high level of concern about soil degradation and water availability issues and the expectation that technological improvements (e.g. improved cultivars) will help to offset the negative consequences of those biophysical conditions and the possible impacts of climate change.

RRTs have identified several key indicators to describe the future biophysical and socio-economic conditions. Table 4 shows the main indicators and their trends expressed in terms of direction (decrease, increase, no change) and magnitude (small, medium, large). Soil degradation has been consistently identified as a major issue by all the teams, indicating that soil degradation rates will increase. However, the magnitude of change varies across cases, for example the magnitude is small in cases where there is more government investment in agriculture, promotion of better soil conservation activities, and increased fertilizer use. Note that these policies help to reduce the rate of soil degradation but don't reverse those conditions completely, except in a few cases where teams have developed a second, more optimistic RAP.

Another important indicator is the increased incidence of pests and diseases. This is particularly interesting because the effects of pests and diseases are not represented in most crop and livestock simulation models. By including these effects in the RAPs (based on secondary information) they can be translated into model parameters and represented in scenarios.

Other farm and household characteristics such as farm size and household size have also been identified as key variables in the RAPs, however the trends vary across cases. Farm size is one of the variables that has been under debate among researchers in each team. In most cases, farm size tends to increase due to farm consolidation and the increased off-farm opportunities, which also causes a decrease in household size. This also explains why most of the teams identified increasing trends in off-farm income.

Another set of key variables are the ones related to production inputs, such as fertilizer. In most cases the teams have identified a tendency to increased use of fertilizer due to a combination of lower fertilizer prices (usually tied to government subsidies), increased fertilizer availability and

improved information and extension services. Similarly, the use of improved crop varieties and livestock breeds is likely to increase in most cases.

Other indicators that have also been identified as important in the RAPs discussions are the availability of better information and investment in extension and technical services. Most of the teams believe that there is a positive trend in relation to access to better information that could help farmers make better informed decisions.

*Use of RAPs: Model Parameterization:* Following the AgMIP approach for integrated assessment of climate change impacts and adaptation (See Figure 3 and RIA-Chapter), the teams have used the RAPs information in Core Questions 2 and 3. Crop, livestock and economic model parameters have been modified to represent future bio-physical and socio-economic conditions (see RRTs chapters for specific details). The RRTs used the DevRAP matrix to document the parameter changes, background and related information. The process of model parameterization was also an iterative process between the teams and the AgMIP economic leaders. In order to have a better understanding of the parameterization process, two types of variables have been identified in the RAPs narratives: Variables that have *direct impacts* on one or more model parameters and variables that have *indirect impacts* on model parameters. For example, the case of increased fertilizer use as a result of subsidies on mineral fertilizers prices and increased investment in infrastructure for better market access. Increased fertilizer use will affect directly crop model parameters (e.g. amount of mineral fertilizer applied), and economic model parameters (e.g. production costs). Similarly, reduced mineral fertilizer prices will affect directly the economic models (production costs). On the other hand, policies such as subsidies, investment in infrastructure, and better market access do not have a direct effect on model parameters, but help to support the RAPs narrative and explain why model parameters such as fertilizer price and fertilizer use are changing.

*Stakeholder Involvement:* As we mentioned before, a key element of RAPs is the stakeholder involvement in the research process as this increases the legitimacy and credibility of the project activities, in particular of the RAPs development. Understandably, in some cases it was challenging to engage stakeholders in a complex modeling activity as described above. However, stakeholder participation in the RAPs development process is considered one of the most successful outcomes of the RRTs activities by RRT members (see Table 5). Stakeholders

concerns about future conditions (e.g. food security) were a key motivation for them to contribute with their expertise and ideas to develop the RAP narratives. Stakeholders found the RAPs to be an efficient way to link scientists to policy makers, and also a good tool to be used to make informed policymaking (Table 5 and -cite AgMIP Stakeholders Impact document).

*Challenges, Issues and Positive Outcomes:* The teams have identified several challenges and issues during the process of creating RAPs, Table 5 shows the challenges and positive outcomes in relation to the process of developing RAPs.

***Identification of indicators.*** The first challenge that the teams faced was to identify key indicators to describe the RAP. This was particularly difficult due to the fact that developing RAPs is a relatively new approach, and understanding the process and the ultimate goal of how the RAPs were a key element in the integrated assessment framework took sometime. Nevertheless, the teams were able to identify key indicators and as discussed above, several are common to all the teams, which shows consistency in terms of the perception about what are the key issues of the production systems being modeled.

***Data availability.*** Storylines must be accompanied by background information based on current studies, data or other secondary information. It was challenging for the teams to find reliable data (e.g. trends), in particular data at the regional level for non-modeled activities in the production system. The teams recognize that this is a point for future improvement (i.e. get better data).

***Agreement on trends direction and magnitude.*** The teams have reported that reaching an agreement about the direction and magnitude changes of indicators was difficult. Disciplinary bias, personal convictions or interests and little understanding of RAPs were mentioned as the main reasons. For example, some people thought about future conditions as ‘predictions’ of what they think will happen rather than making projections consistent with a narrative to describe plausible future conditions. Reaching agreement for the magnitude of change was more difficult compared to the direction of change. As a next step the teams will revise those storylines where agreement levels were low, by conducting additional research or inviting other experts.

***Interaction with Stakeholders.*** The teams reported that one of the most challenging issues was the initial interaction with stakeholders. In particular, explaining the RAPs framework

and its use within the modeling approach was very difficult. However, most of the teams reported that they succeeded in engaging the stakeholders in the RAPs process and obtaining good feedbacks from them.

Despite the challenges faced in developing RAPs the main positive outcome of the teams is that they succeeded in creating at least one RAP for their region. The RRTs were able to form multi-disciplinary and multi-institutional teams of scientists and involve experts outside of their research teams and stakeholders and come to a level of agreement of what could be a plausible future. The RRTs reported that another positive outcome was the better understanding of how the RAPs fit the integrated assessment framework thanks to the last step of reviewing the RAPs and the model scenarios in conjunction with the AgMIP regional economics team. The teams feel more confident now about developing alternative RAPs and incorporating these into their analysis.

*The Way Forward:* All the RRTs have reported to have plans for improving the RAPs that have been developed by doing further research on key variables. They will continue developing alternative RAPs for the same region where they have developed the first RAP and they also have plans to develop RAPs for other regions in their countries. In all cases the teams are planning to increase the number of stakeholder participation in the next activities and try to involve them early in the process.

The AgMIP economic leadership plans to revise the RAPs process methodology and tools, create a master list of indicators with detailed definitions that can facilitate the development of RAPs (as noted above, a key issue was to identify main indicators), and provide standard definitions of the indicators being used in the RAPs. AgMIP will also develop ways to archive and document the RAPs and related information in such a way that can be used by other researchers.

## **5. Conclusions**

This chapter presents the conceptual foundations and methods for designing Representative Agricultural Pathways and Scenarios (RAPs) that can be coupled with global socio-economic pathways (i.e., SSPs) for agricultural model inter-comparison and improvement, and for climate impact, adaptation and vulnerability assessment, as envisaged by AgMIP and other global and regional modeling projects. AgMIP's goal is to design RAPs for all of the major agricultural

regions of the globe. The first step in this process began with regional impact assessment teams created by AgMIP in collaboration with national and international institutions in Sub-Saharan Africa and South Asia (see [agmip.org](http://agmip.org)). Developing RAPs for these teams has been a ‘learning by doing’ process that has created the capability for better communication and understanding across disciplines and between scientists and stakeholders. As the regional teams create additional RAPs and implement integrated assessments at the regional and local scales, it will be possible to scale them up to the national and global levels, thus leading to a consistent set of linked global and regional RAPs. These accomplishments will enable a new capability by the agricultural modeling community to carry out agricultural model inter-comparison, and carry out impact, adaptation and vulnerability assessment consistently across scales. We are confident that this capability will lead to the improvement of agricultural models and to a new generation of improved global and regional assessments.

## References

- Alcamo, J. (2008) The SAS Approach: Combining Qualitative and Quantitative Knowledge in Environmental Scenarios, in: J. Alcamo (Ed.), *Environmental Futures*, Elsevier, Amsterdam.
- Antle, J. M. 2011 Parsimonious Multidimensional Impact Assessment. *American Journal of Agricultural Economics*. **93**, 1292–1311
- Antle, J.M., J.J. Stoorvogel and R.O. Valdivia. (2014). New Parsimonious Simulation Methods and Tools to Assess Future Food and Environmental Security of Farm Populations. *Philosophical Transactions of the Royal Society B* 369:20120280.
- Antle, J.M., R.O. Valdivia, L. Claessens, J. Nelson, C. Rosenzweig, A. Ruane and J. Vervoort. (2014). Designing Sectoral and Regional Pathways and Scenarios for Climate Impact Assessment: Lessons from the Agricultural Model Inter-comparison and Improvement Project.
- Antle, J. M., Valdivia, R.O. (2011) TOA-MD 5.0: Tradeoff Analysis Model for Multi-Dimensional Impact Assessment. <http://tradeoffs.oregonstate.edu>.
- Antle, J.M., P. Backlund, A. Murray, B. O’Neil and C. Tebaldi, 2015. Scenarios and Models of Future Food Systems. Chapter 4 in *Global Climate Change, Food Security, and the U.S. Food System*. U.S. Dept. of Ag., Washington.
- Carter, T., K. Ebi, J. Edmonds et al. (2012) A framework for a new generation of socioeconomic scenarios for climate change impact, adaptation, vulnerability, and mitigation research. In: Workshop Report of the Intergovernmental Panel on Climate Change Workshop on Socio-Economic Scenarios [Edenhofer, O., R. Pichs-Madruga, Y. Sokona, V. Barros, C.B. Field, T. Zwickel, S. Schloemer, K. Ebi, M. Mastrandrea, K. Mach, C. von Stechow (Eds.)]. IPCC Working Group III Technical Support Unit, Potsdam Institute for Climate Impact Research, Potsdam Germany, pp. 51.
- Cash, D. W., W. C. Clark, et al. (2003) "Knowledge systems for sustainable development." *Proceedings of the National Academy of Sciences of the United States of America* 100(14): 8086-8091.
- Chaudhury, M., J. Vervoort, et al. (2012) "Participatory scenarios as a tool to link science and policy on food security under climate change in East Africa." *Regional Environmental Change*: 1-10.
- Easterling, W., Aggarwal, P.K., Batima, P., Brander, K.M., Erda, L., Howden, S.M., Kirilenko, A., Morton, J., Soussana, J.-F., Schmidhuber, J., and Tubiello, F.N., (2007) Food, fibre and forest products. In: Parry, M.L., coeditors (Eds.) *Climate Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change*. Cambridge University Press, Cambridge, UK, 273-313.
- Ewert, F., M.K. van Ittersum, T. Heckeley, O. Therond, I. Bezlepikina, and E. Andersen. (2011). Scale changes and model linking methods for integrated assessment of agri-

- environmental systems. *Agriculture, Ecosystems & Environment* 142, 6-17.  
[DOI:10.1016/j.agee.2011.05.016](https://doi.org/10.1016/j.agee.2011.05.016).
- Gaffin, S.R., C. Rosenzweig, X. Xing, and G. Yetman. (2004) Downscaling and geo-spatial gridding of socio-economic projections from the IPCC Special Report on Emissions Scenarios (SRES). *Global Environmental Change* 4 (2004) 105–123.
- Havlík, P., U. A. Schneider, et al. (2011). Global land-use implications of first and second generation biofuel targets. *Energy Policy* 39(10): 5690-5702.
- Havlík, P., Valin, H., Herrero, M., Obersteiner, M., Schmid, E., Rufino, M. C., & Notenbaert, A. et al. (2014). Climate change mitigation through livestock system transitions. *Proceedings of the National Academy of Sciences*, 111(10), 3709-3714.
- Kemp-Benedict, E. (2010) Converting qualitative assessments to quantitative assumptions: Bayes' rule and the pundit's wager. *Technological Forecasting & Social Change* 77 (2010) 167–171.
- Kriegler, E., O'Neill, B. C., Hallegatte, S., Kram, T., Lempert, R.J., Moss, R.H., Wilbanks, T. (2012) The need for and use of socio-economic scenarios for climate change analysis: A new approach based on shared socio-economic pathways. *Global Environmental Change* (in press). <http://dx.doi.org/10.1016/j.gloenvcha.2012.05.005>
- Kriegler E, Edmonds J, Hallegatte S, Ebi KL, Kram T, Riahi K, Winkler H, van Vuuren DP. (2014). A new scenario framework for climate change research: the concept of shared climate policy assumptions. *Climatic Change*, Vol 122, issue 3, pp 401-414
- International Institute for Applied Systems Analysis. (2012) SSP Database. <https://secure.iiasa.ac.at/web-apps/ene/SspDb/dsd?Action=htmlpage&page=about#intro>. Accessed December 10, 2012.
- Merel, P. and R. Howitt. (2014). Theory and Application of Positive Mathematical Programming in Agriculture and the Environment. *Annual Review of Resource Economics* 6: in press.
- Moss, R., Babiker, M., Brinkman, S., Calvo, E., Carter, T., Edmonds, J., Elgizouli, I., Emori, S., Erda, L., Hibbard, K., Jones, R., Kainuma, M., Kelleher, J., Lamarque, J.F., Manning, M., Matthews, B., Meehl, J., Meyer, L., Mitchell, J., Nakicenovic, N., O'Neill, B., Pichs, R., Riahi, K., Rose, S., Runci, P., Stouffer, R., van Vuuren, D., Weyant, J., Wilbanks, T., van Ypersele, J.P., and Zurek, M. (2008) Towards New Scenarios for Analysis of Emissions, Climate Change, Impacts, and Response Strategies. Intergovernmental Panel on Climate Change, Geneva, 132 pp.
- Moss, R.H., Edmonds, J.A., Hibbard, K.A., Manning, M.R., Rose, S.K., van Vuuren, D.P., Carter, T.R., Emori, S., Kainuma, M., Kram, T., Meehl, G.A., Mitchell, J.F.B., Nakicenovic, N., Riahi, K., Smith, S.J., Stouffer, R.J., Thomson, A.M., Weyant J.P. and Wilbanks, T.J. (2010) The next generation of scenarios for climate change research and 1183 assessment. *Nature* 463: 747--- 756. doi:10.1038/nature08823
- Nelson, G., et al. (2010). Food security, farming, and climate change to 2050: Scenarios, results, policy options. IFPRI. Washington DC. 155 pp  
<http://www.ifpri.org/sites/default/files/publications/rr172.pdf>

- Nelson, Gerald C., Dominique Van Der Mensbrugge, et al. (2014) "Agriculture and Climate Change in Global Scenarios: Why Don't the Models Agree." *Agricultural Economics* 45:85-101.
- Ohrel, Sara Bushey, Robert H. Beach, Darius Adams, Ralph Alig, Justin Baker, Gregory S. Latta, Bruce A. McCarl, Steven K. Rose, and Eric White. (2010). "Model Documentation for the Forest and Agricultural Sector Optimization Model with Greenhouse Gases (FASOMGHG)." RTI International. RTI Project Number 0210826.016. Available at <http://agecon2.tamu.edu/people/faculty/mccarl-bruce/FASOM.html>
- O'Neill, B.C., Carter, T.R., Ebi, K.L., Edmonds, J., Hallegatte, S., Kemp-Benedict, E., Kriegler, E., Mearns, L., Moss, R., Riahi, K., van Ruijven, B., van Vuuren, D. (2012) Meeting Report of the Workshop on The Nature and Use of New Socioeconomic Pathways for Climate Change Research, Boulder, CO, November 2-4, 2011. Available at: <http://www.isp.ucar.edu/socio-economic-pathways>.
- Parry, M.L., C Rosenzweig, A Iglesias, M Livermore, G Fischer. (2004). Effects of climate change on global food production under SRES emissions and socio-economic scenarios, *Global Environmental Change*, Volume 14, Issue 1, April 2004, Pages 53-67, <http://dx.doi.org/10.1016/j.gloenvcha.2003.10.008>
- Rosegrant, M. W., M. Agcaoili-Sombilla, et al. (1995) Food Projections to 2020: Implications for Investment. Food Agriculture and the Environment Discussion Paper no. 5. Washington, DC, International Food Policy Research Institute. 5.
- Rosegrant, M.W. and the IMPACT Development Team. (2012). International Model for Policy Analysis of Agricultural Commodities and Trade (IMPACT): Model Description. International Food Policy Research Institute (IFPRI), Washington, D.C. (2)
- Rosenzweig, C., Jones, J. W., Hatfield, J. L. , Ruane, A. C., Boote, K. J., Thorburn, P., Antle, J. M., Nelson, G. C., Porter, C., Janssen, S., et al. (2012) The Agricultural Model Intercomparison and Improvement Project (AgMIP): Protocols and Pilot Studies. *Ag. For. Meteor.*, in press.
- Rosenzweig, Cynthia and Elliott, Joshua and Deryng, Delphine and Ruane, Alex C. and Müller, Christoph and Arneth, Almut and Boote, Kenneth J. and Folberth, Christian and Glotter, Michael and Khabarov, Nikolay and Neumann, Kathleen and Piontek, Franziska and Pugh, Thomas A. M. and Schmid, Erwin and Stehfest, Elke and Yang, Hong and Jones, James W. (2013). Assessing agricultural risks of climate change in the 21st century in a global gridded crop model intercomparison. *Proceedings of the National Academy of Sciences*.
- Valdivia, R.O. and J.M. Antle. (2012) DevRAP: A Tool for Designing Representative Agricultural Pathways and Scenarios. <http://tradeoffs.oregonstate.edu>.
- Valdivia, R. O., Antle J. M. and Stoorvogel J. J. (2012) Coupling the Tradeoff Analysis Model with a market equilibrium model to analyze economic and environmental outcomes of agricultural production systems. *Agricultural Systems* 110, 17-29.

- van Notten, P. W. F., A. M. Slegers, et al. (2005) The future shocks: On discontinuity and scenario development. *Technological forecasting and social change* **72**(2): 175-194.
- van Ittersum, M.K., Ewert, F., Heckeley, T., Wery, J., Alkan Olsson, J., Andersen, E., Bezlepkina, I., Brogaard, S., Donatelli, M., Flichman, G., Olsson, L., Rizzoli, A., van der Wal, T., Wien, J.E. , Wolf, J. (2008). "Integrated assessment of agricultural systems – A component-based framework for the European Union (SEAMLESS)." *Agricultural Systems* **96**, 150-165.
- van Vuuren, D.P., Riahi, K., et al. (2011) A proposal for a new scenario framework to support research and assessment in different climate research communities. *Global Environmental Change*, doi:[10.1016/j.gloenvcha.2011.08.002](https://doi.org/10.1016/j.gloenvcha.2011.08.002)
- van Vuuren, D. P., M. T. J. Kok, et al. (2012) "Scenarios in global environmental assessments: key characteristics and lessons for future use." *Global Environmental Change*.
- Wu JJ, Adams RM, Kling CL, Tanaka K. (2004) From micro-level decisions to landscape changes: an assessment of agricultural conservation policies. *Am. J. Agric. Econ.* **86**, 26 – 41. (doi:[10.1111/j.0092-5853.2004.00560.x](https://doi.org/10.1111/j.0092-5853.2004.00560.x))
- Zurek, M. B. and T. Henrichs (2007) "Linking scenarios across geographical scales in international environmental assessments." *Technological Forecasting and Social Change* **74**(8): 1282-1295.

### **Box 1. Acronym Guide**

AgMIP = Agricultural Model Inter-comparison and Improvement Project

AMC = Agricultural Modeling Community

CCAFS = Climate Change, Agriculture and Food Security Research Program of the CGIAR

CGIAR = Consultative Group on International Agricultural Research

CMIP = Coupled Model Intercomparison Project

CSM = Cropping System Model

ESM = Earth System Model

GDP = Gross Domestic Product

IAM = Integrated Assessment Model

IAMC = Integrated Assessment Modeling Consortium

RCP = Representative Concentration Pathway

SAS = story and simulation approach to scenario analysis

SRES = Special Report on Emissions Scenarios

SSP = Shared Socio-economic Pathway

TOA-MD = Tradeoff Analysis Model for Multi-dimensional Impact Assessment

RAP= Representative Agricultural Pathway

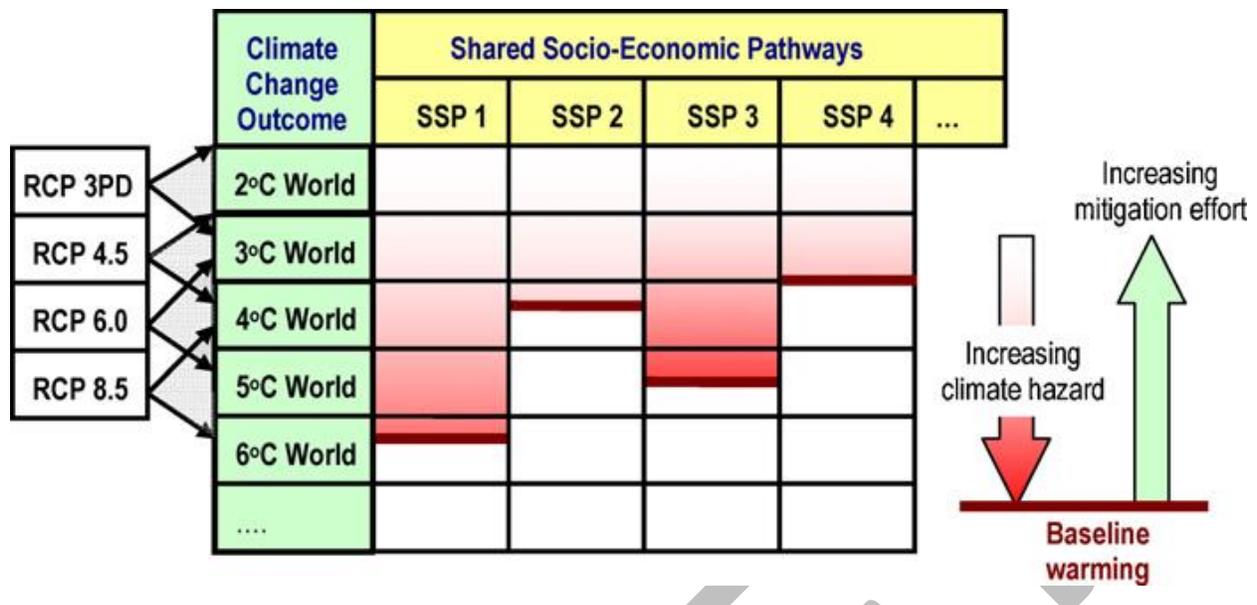


Figure 1. Scenario Matrix with SSPs on the horizontal axis and RCPs on the vertical axis (source: Kriegler et al. 2012).

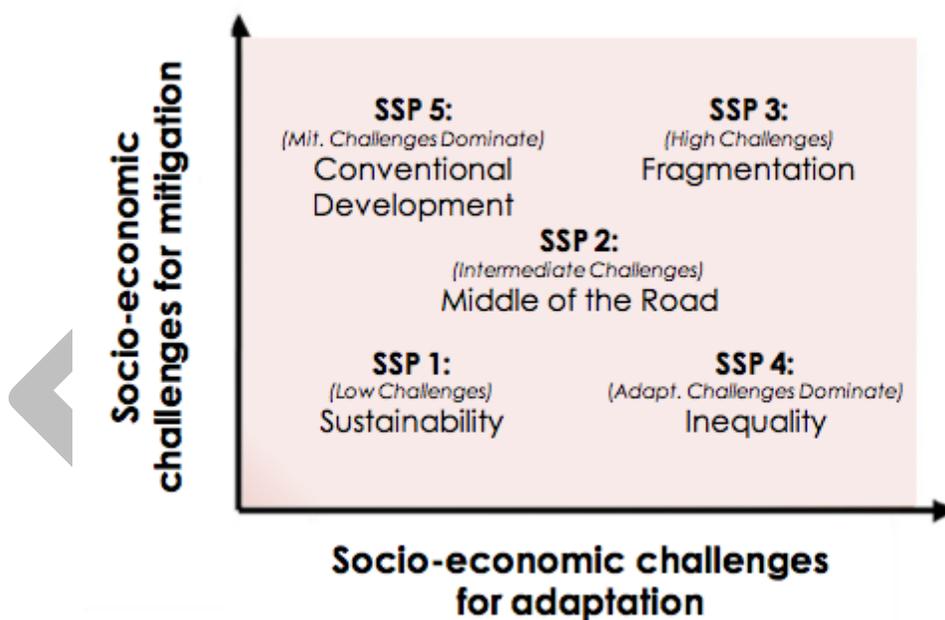


Figure 2. 5-Pathway SSP Matrix (Source: O'Neill et al. 2012)

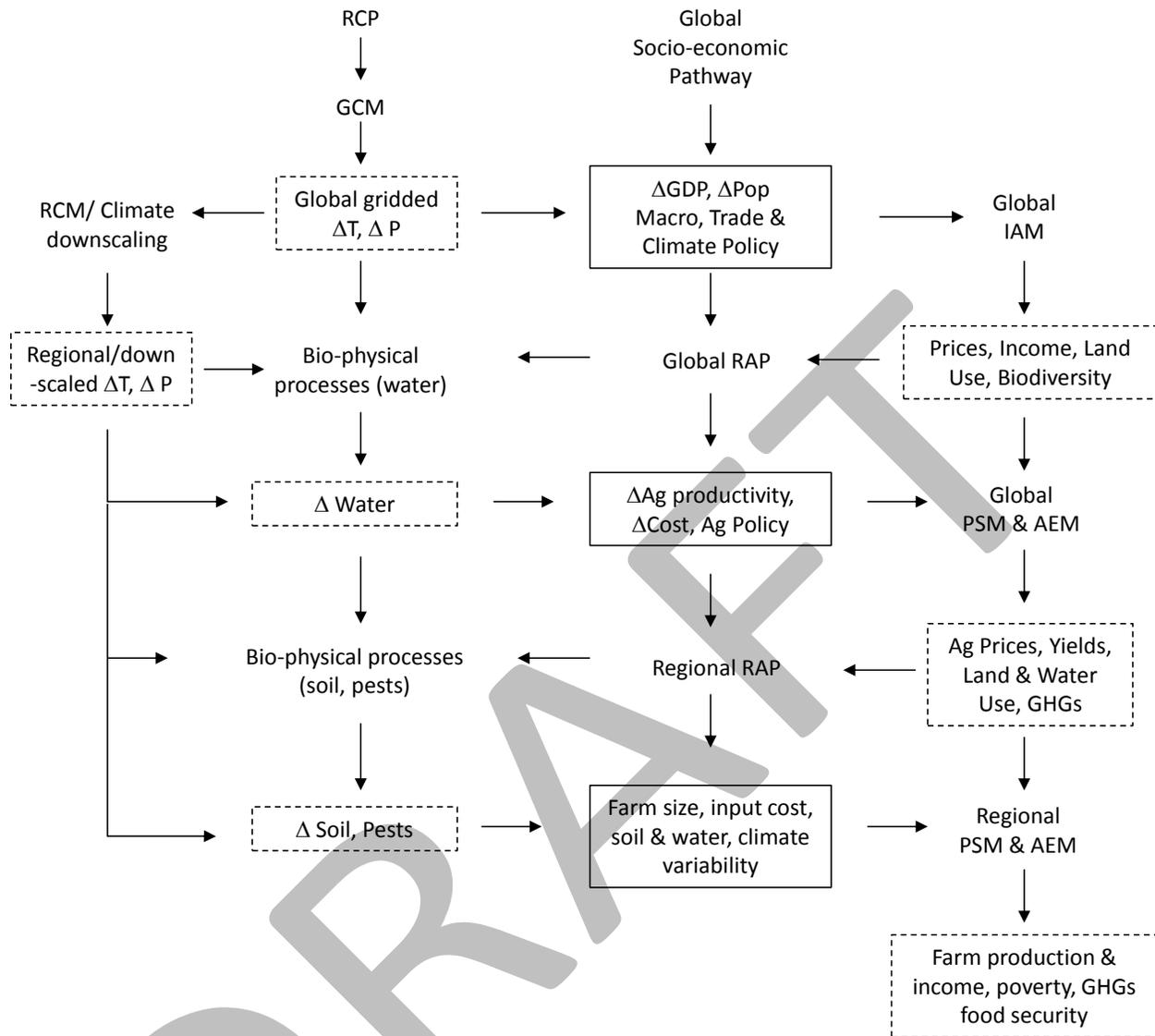


Figure 3. An elaboration of AgMIP's Global and Regional Integrated Assessment Modeling Framework. Note: RCP = representative concentration pathway; GCM = global climate model; RCM = regional climate model; T = temperature, P = precipitation; IAM = integrated assessment model; RAP = Representative Agricultural Pathway; PSM = bio-physical production system model; AEM = agricultural economic model; solid boxes indicate variables determined by global socio-economic pathways and RAPs, dashed boxes indicate model outputs.

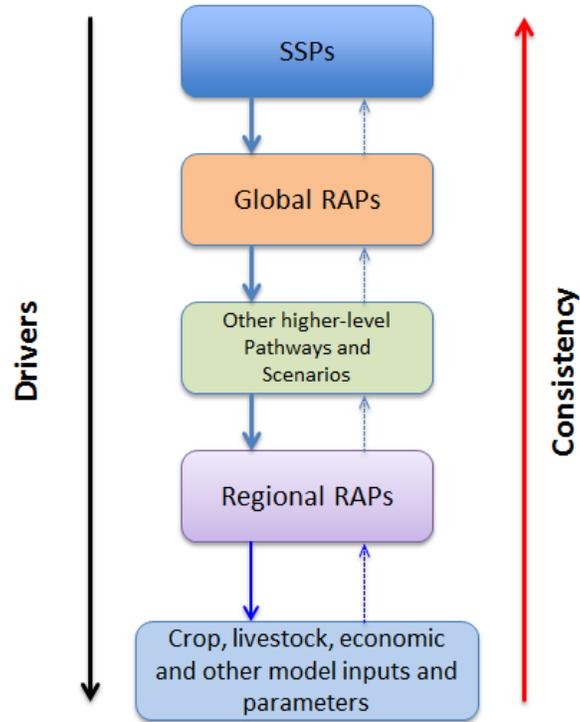


Figure 4. Linkages from Global and Regional Pathways and Scenarios for Dis-aggregation (Down-scaling) and Development of Model-Specific Scenarios

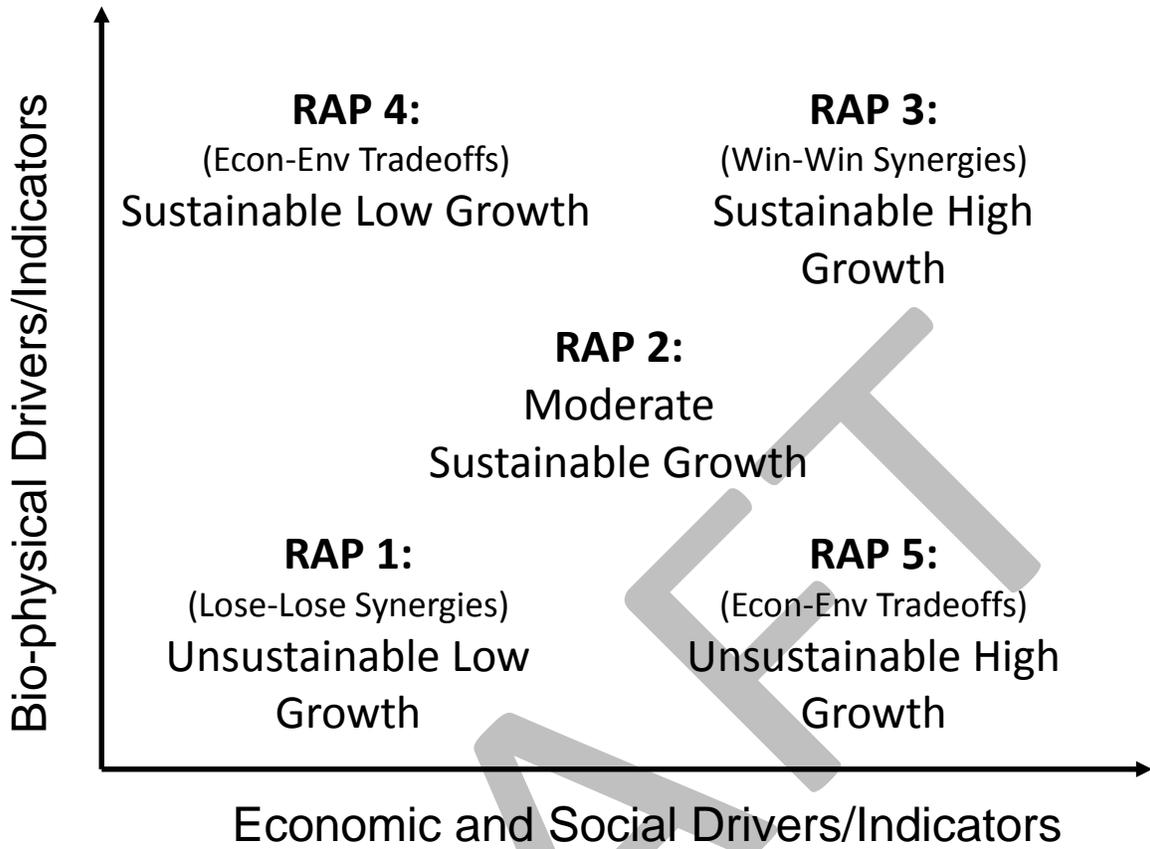


Figure 5. 5-Pathway “Synergies and Tradeoffs” Matrix with Pathway Descriptions (Source: Antle et al. 2014)

| REPRESENTATIVE AGRICULTURAL PATHWAYS DEVELOPMENT TOOL |                                     |  |                     |                     |  |                                |   |            |             |  |
|---|-------------------------------------|--|---------------------|---------------------|--|--------------------------------|---|------------|-------------|--|
| DevRAPs v1.5  |                                     |  |                     |                     |  |                                |   |            |             |  |
| Region/Location: Rice Wheat Zone of Punjab, Pakistan  |                                     |  |                     |                     | RAP TITLE: Rice and Wheat production under vulnerable climatic conditions  |                                |   |            |             |  |
| Time horizon: 2040-2069                               |                                     |  |                     |                     | RAP ID: 2.1.1  |                                |   |            |             |  |
| SSP: 2 (Middle of the Road)                           |                                     |  |                     |                     | RAP NARRATIVE: Agriculture production is very important to ensure food security and provision of employment opportunities to majority of the rural population. Government policy objective is to achieve food security, ensure adequate raw materials for the industrial sector and increased export earnings. Therefore government is committed to support agriculture sector through increased public investment to fulfill the needs of increasing population. Govt. sector alongwith the private sector will invest into the research, technology, infrastructure and extension services thus improving productivity through efficient input use, better market access, infrastructure and service development. Farming community will react to the climatic changes and adoption process will be instigated due to the anticipated losses in the agricultural productivity in the face of climatic uncertainties. |                                |   |            |             |  |
| Global RAP: 1   |                                     |  |                     |                     |  |                                |   |            |             |  |
| Other higher-level Pathway or Scenario: Mix           |                                     |  |                     |                     |  |                                |   |            |             |  |
| CATEGORY  | VARIABLE / INDICATOR                | LEAD Person  | Direction of change | Magnitude of change | Rationale for direction and magnitude of change  | Percent change over the period | Rationale for percent change over period  | Agreement? | Confidence? | Documentation  |
| Bio-Physical*   | Soil Degradation                    | External Expert (Z. A. Zahir & K. Bakhs, A. Ahmad and A. Sohail) | Increase            | Small               | The problem of soil degradation is on the increase due to various factors and many of them have intensified in the face of climatic uncertainties (like excessive use of low quality ground water).  | 5                              | The proposed adoption through new integrated nutrient management (INM) practices will help farmers to overcome the problem and preserve the land to control the increasing rate of soil degradation in mid-century scenario.  | Medium     | Medium      | Rationale says new INM will help farmers reduce the rate of soil degradation, but direction still shows it will have a small increase. So this INM will not be enough to change the direction of soil degradation? |
|   | Ground & surface water availability |  | Decrease            | Small to medium     | Farmers in the study area use two kinds of water sources to irrigate the land i.e. surface water (available through canal irrigation system) and ground water (through tubewells). Since the surface water availability (which is the preferred source of irrigation water) is decreasing so farmer tries to fill the gap with costly groundwater and occasional rainfall.   | 25                             | 1. Low surface water availability (regional water distribution, water reservoirs capacity, glacier melting in early century scenario)<br>2. Ground water table depletion due to excessive extraction<br>3. Erratic rainfall pattern   | High       | High        | The system is irrigated. Farmers will manage the reduction in water supply by better utilizing the available water   |
|   | Overall Productivity                |  | Increase            | Medium to Large     | Better management skills, improved technology, high yielding and climate resilient crop varieties, high milking and more fertile livestock breeds will enable the farmers to increase their agricultural productivity  | 50                             | People will use the available water resources efficiently with the help of research, technology and better crop varieties and livestock breeds so that productivity will improve but overall impact will not be very significant (Due to climate change and lower land holdings). Extension and education will also play their role | Medium     | High        |  |
|   | Pest weeds & Disease Infestation    |  | Increase            | Small               | Pest infestation (especially the weed infestation) in Punjab will likely to increase. The rate of the increase would be tackled with genetically improved crop varieties   | 5                              | Research and development. But the threat of super weed should not be ignored.   | Medium     | Medium      |  |

Figure 6. Example of the RAPS Matrix in the DevRAPS Tool (Antle and Valdivia 2012), with Example for the Rice-Wheat Zone of Punjab, Pakistan. Developed by AgMIP Pakistan Regional Research Teams (See Chapter XX).

Table 1. RAPs location and type

| <b>Regional Research Team</b> | <b>Location</b>             | <b>RAPs overall pathway type</b> | <b>Stakeholder involvement</b> |
|-------------------------------|-----------------------------|----------------------------------|--------------------------------|
| CLIP                          | Zimbabwe, Matabeleland      | HRED                             | Yes                            |
| CLIP                          | Zimbabwe, Matabeleland      | LRED                             | Yes                            |
| CLIP                          | Mozambique, Manica          | HRED                             | Yes                            |
| CLIP                          | Mozambique, Manica          | LRED                             | Yes                            |
| East Africa                   | Kenya, Embu                 | HRED                             | Yes                            |
| SAMIIP                        | Namibia                     | HRED                             | Yes                            |
| SAMIIP                        | South Africa                | HRED                             | Yes                            |
| CIWARA                        | Senegal, Nioro              | LRED                             | Yes                            |
| CIWARA                        | Senegal, Nioro              | HRED                             | Yes                            |
| South India                   | India - ANGRAU              | HRED                             | Some                           |
| Soth India                    | India - Tamil Nadu          | HRED                             | Yes                            |
| IGB                           | India - IGB                 | LRED                             | Yes                            |
| IGB                           | Nepal - IGB                 | HRED                             | No                             |
| Pakistan                      | Pakistan, Wheat-Rice Region | HRED                             | Yes                            |
| Sri Lanka                     | Sri Lanka, Kurunegala dist  | HRED                             | Yes                            |
| Sri Lanka                     | Sri Lanka -FECT             | HRED                             | Yes                            |

LRED: Low rate of economic development  
HRED: High rate of economic development

Table 2. RAPs Development Process

| TEAM                | RAPs Lead person | RAP # | Meeting # | Type of meeting                               | Goal   | # of attendees | #of stakeholder attended | Used a facilitator? If yes, name | Status of RAP  |
|---------------------|------------------|-------|-----------|---|--|----------------|--------------------------|----------------------------------|--|
| IGB India           | Harbir Singh     | 2.1   | 1         | Research team + Other scientists              | Identify variables to be used in TOA-MD  | 9              | 0                        | Y, Harbir                        | Reional RAPS (distrct-level) developed. It needs to be updated further for the state as well the whole IGB area (including Nepal and Bangladesh) |
|                     |                  | 2.1   | 2         | Research Team, experts and Stakeholders       | Explain RAPS and have feedback from stakeholders   | 29             | 7                        | Y, Harbir                        |  |
| South India         | Parama sivam     | 2.1   | 1         | Research team                                 | Identify variables   | 8              | 0                        | no                               | RAPs process initiation, identification of potential variables, literautre review  |
|                     |                  | 2.1   | 2         | Research team, experts                        | Develop initial RAPs narrative   | 25             | 0                        | Balasubramanian                  | Preliminary RAPs developed for presentation to stakeholder views   |
|                     |                  | 2.1   | 3         | Research team, experts, stakeholders, farmers | Finalise RAPs narrative  | 35             | 15                       | Suresh                           | RAPs narrative discussed with participants and finalised   |
| East Africa (Kenya) | Richard Mulwa    | 2.1   | 1         | Research team                                 | Identification of variables and initial discussions on directions and magnitude of changes | 5              | 0                        | Richard Mulwa                    | Variables identified and Initial narative developed, then circulated to research team for further comments                                       |
|                     |                  | 2.1   | 2         | Research Team + Other experts                 | Discussion on the magnitudes and direction of variables identified by the research team    | 15             | 1                        | Richard Mulwa/KPC Rao            | Initial narrative refined, experts gave opinion and RAP adjusted to fit discussions between experts and research team                            |

|      |              |     |   |  |  |    |    |               |   |
|------|--------------|-----|---|--|--|----|----|---------------|---|
|      |              | 2.1 | 3 | Research Team + Stakeholders                               | Clarification on the figures in the RAPs and further comments from stakeholders              | 25 | 20 | Richard Mulwa | Stakeholder gave opinions on the directions and magnitudes of some variables and we got consensus. Now RAP fully developed  |
| CLIP | Sabine Homan | 2.1 | 1 | Research team meets and email exchange                     | Revise background material, identify variables and define the process of conducting the RAPS | 3  | 0  | no            | We developed 2 draft narratives about different scenarios, compared both for consistency, but use only 1.1 for the analysis. Feedback from Roberto was used to revise that narrative and verify again with experts. |
|      |              | 2.1 | 2 | Research team + stakeholders (crops, livestock, extension) | Report research and assess RAPs with experts   | 6  | 4  | no            |   |
|      |              | 2.1 | 3 | Research team + stakeholders (crops, livestock, extension) | Document RAPS and share with stakeholders for feedback                                       | 6  | 4  | no            |   |
|      |              | 2.1 | 4 | Research team  | Develop final RAP narratives and plan for next RAPs  | 2  | 0  | no            |   |
|      |              | 2.2 | 5 | Research team + stakeholders (crops, livestock, extension) | Report research and assess RAPs with experts   | 6  | 4  | no            |   |
|      |              | 2.2 | 6 | Research team + stakeholders (crops, livestock, extension) | Document RAPS and share with stakeholders for feedback                                       | 6  | 4  | no            |   |
|      |              | 2.2 | 7 | Research team  | Develop final RAP narratives and plan for next RAPs  | 2  | 0  | no            |   |

|                              |                |            |   |  |  |    |    |  |  |
|------------------------------|----------------|------------|---|--|--|----|----|--|--|
|                              |                | 2.1<br>+   | 8 | Research team +<br>experts   | Revise final RAPs  | 4  | 0  | no   |  |
| SAAMI<br>P<br>(Namibia)      | Mogos          | 2.1<br>2.2 | 1 | Research<br>team+other<br>expert   | Identify variables<br>and lead persons<br>per indicator  | 9  | 2  | no   | Final Narrative developed,<br>expect to revise it further as<br>we make progress with<br>other RAPs or get better<br>estimates of trends |
|                              |                | 2.1        | 1 | Research team  | Study global and<br>regional RAPS and<br>discuss variables to<br>be considered and<br>Identify experts<br>and stake holders<br>to be invited for the<br>meeting and lead<br>persons for<br>variables/areas | 11 |    | No   | Final Narrative developed,<br>expect to revise it further as<br>we make progress with<br>other RAPs or get better<br>estimates of trends |
| Sri<br>Lanka<br>Rice<br>Team | R.M.<br>Herath |            | 2 | Research team +<br>University<br>Academics,<br>Research<br>Officers and<br>leading<br>stakeholders   | Improve awareness<br>on AgMIP project<br>and get views on<br>climate change<br>impacts on rice<br>farming  | 77 | 70 | Facilitate by<br>the<br>Administrati<br>ve Lead of<br>the AgMIP<br>project |  |
|                              |                |            | 3 | Research team +<br>University<br>Academics,<br>Research<br>Officers, leading<br>stakeholders and<br>Respective<br>Ministry<br>representative | Discuss views<br>obtained by leading<br>stakeholders to<br>develop RAP needs<br>and possible<br>adaptation<br>strategies   | 25 | 19 |  |  |
|                              |                | 2.1        | 4 | Research Team<br>+ Other experts<br>+Stake holders   | Develop RAPS<br>with the<br>participation of<br>invited experts and<br>stakeholders  | 98 | 90 |  |  |

|           |                  |     |   |   |   |    |   |   |  |
|-----------|------------------|-----|---|---|---|----|---|---|--|
|           |                  | 2.1 | 5 | Research Team, selected lead experts and Stakeholders | Explain RAPS developed at the previous meeting and have feedback from experts and stakeholders  | 15 | 4 | No  |  |
|           |                  | 2.1 | 6 | Research team   | Develop final RAP narratives and plan for next RAPs   | 11 |   | No  |  |
| IGB-Nepal | D.B. Thapa Magar | 2.1 | 1 | Research team   | Identify key variables affecting production system in the future  | 6  | 0 | No  | Draft narrative of RAPs is developed and it's still in the process of refinement for getting better estimates of the various variables taken into consideration.                     |
|           |                  | 2.1 | 2 | Research Team + multidisciplinary experts             | Sharing about RAPs and discussion with experts  | 14 | 0 | Y, D.B. Thapa Magar   |  |
|           |                  | 2.1 | 3 | Research Team and Stakeholders                        | Explain RAPs and have feedback from stakeholders  | 12 | 8 | Y, D.B. Thapa Magar   |  |
|           |                  | 2.1 | 4 | Research team   | Develop (and review) final RAP narratives   | 3  | 0 | No  |  |
| Pakistan  | M. Ashfaq        | 2.1 | 1 | Research team   | 1). Identify variables for different categories<br>2). Identify lead persons per indicator  | 10 | 0 | No  | Narratives have been developed and revised for current RAP, scenarios have been quantified in the light of developed RAPs and trends have been gestimated, based on facts and trends |
|           |                  | 2.1 | 2 | Research Team, other experts and stakeholders         | Report research proposal and discuss each variable according to DevRAP Matrix format with experts and stakeholders for their feedback | 25 | 5 | Y, Dr. Abdus Saboor, Dr. Abdul Qudus and Dr. Mrs. Sofia Anwar |  |

|     |   |   |   |    |    |   |
|-----|---|---|---|----|----|---|
| 2.1 | 3 | Research Team, other experts and stakeholders | Discuss variables inconsistencies and have feedback on them from experts and stakeholders | 30 | 10 | Y, Dr. Abdus Saboor, Dr. Abdul Qudus and Dr. Mrs. Sofia Anwar |
| 2.1 | 4 | Research team                                 | Finalize the RAP narratives and discuss about future RAPs                                 | 10 | 0  | No  |

DRAFT

Table 3. AgMIP Regional Research Teams RAPs Narratives (Note: RAPS are being revised by RRTs, this table will be updated)

| Region and RAP Code          | Rap Narrative   |
|------------------------------|---|
| Zimbabwe, Matabeleland - 2.1 | <p><b><i>The pessimistic RAPL If there is no change of mindset and way of doing business, food security situation will be continue to worsen</i></b></p> <p>Opportunities for massive increases in agricultural production and productivity exist but are not being exploited. Persisting economic crisis, governments extractive policies (high taxes) and lack of incentives and security for private sector investment hinder development. Agricultural production and profitability are declining, land degrading and being underutilized. Labor migration and HIV/AIDS result in labor shortage. Agricultural inputs are in short supply and expensive. Use of improved cultivars will be further declining. With high cost of production, food imports will further reduce farmer's chances to make a living from agriculture. Poverty levels continue to increase, people become more vulnerable to food insecurity and other risk</p>   |
| Zimbabwe, Matabeleland - 2.2 | <p><b><i>The positive RAP; Zimbabwe stepping out of crisis: For agricultural growth to happen, this depends on the strong assumptions, that favorable conditions for private and public investments in the agricultural sector will be created</i></b></p> <p>Government policy objective is to achieve food security, ensure adequate raw materials for the manufacturing sector and increased export earnings through increased productivity, efficient input use, improved investment and market access, infrastructure and service development, targeting annual agricultural growth of 9.1% by 2015. A proactive legislation will stipulate land tenure security, incentives for the banking sector and revamp research and extension to promote productivity enhancing technologies for adoption at a large scale. The transformation however starts under extremely difficult conditions, characterized by large account deficit and liquidity challenges, limited direct foreign investment due to lack of clarity on investment security and high interests rates. Underfunded public sector and underperformance of the private sector limit development of the agricultural sector and resulted in unsustainable import bills for agricultural commodities. Limited employment opportunities in urban areas have curtailed rural-urban migration. Most people remain in rural areas where agriculture is the main livelihood activity due to lack of alternatives.</p> |
| Mozambique, Manica -2.1      | <p><b><i>Pessimistic RAP: WE are about to unlock the potential for growth through market oriented crop and livestock production</i></b></p> <p>Government and state policies invest in extractive industries, also with an aim to uplift agriculture and food security. In agriculture, government promotes market oriented production, subsidies are only during recovery and rehabilitation. Poor infrastructure is a major barrier for agricultural development. Investment in infrastructure is however slow. Poor road construction and maintenance restrict private sector investments in these high potential agricultural area (for crops and livestock). Farmers produce beyond subsistence, but fail to access profitable markets (inputs and outputs). Lack of competition input prices tend to be high, output prices generally low. Limited financial capacities and low education levels further restrict farmers ability for higher benefits from increased agricultural production</p>  |
| Mozambique,                  | <p><b><i>Optimistic RAPS: Expected funding for market oriented crop and livestock production will be realized</i></b></p>   |

|  |   |
|--|---|
| Manica -2.2  | PEDSA (national strategic plan) will be funded by 2015 and various investment programs will be implemented. Donors conferences will mobilize resources for fundidng. PNISA (strategy area in PEDSA, National Investment for Agriculture) will define the requirements for developping the agricultural sector (public/private). Other programs are the Beira Agricultural Growth Corridor for small to medium companies. Private sector development will be through CEPAGR, infrastructure development through PROIRRI  |
| <b>Maize production in Embu, Kenya amidst several challenges</b>   |   |
| Kenya, Embu - 2.1  | A combination of increasing population, Government plans to invest in fertilizer factory, Government subsidy on fertilizers, improved economic performance expected to cause a shift from agriculture to service industry, Government plans for massive expansion of irrigation (irrigate 1 million ha), new devolved county governments etc. are some of the developments expected to change agriculture development in the country.   |
| <b>Crop production in Nioro with short term agricultural policy intervention</b>   |   |
| Senegal, Nioro - 2.1   | This RAPs assume dominance of state actors in the agricultural development agenda with the view to bring in fast short term gains with food security outcomes to the population. Main interventions will include support for the agricultural service sector, fertilizer subsidies, feeder roads (slow). Trading land and human resources to foreign investors who will in turn develop infrastructure.   |
| <b>No tittle</b>   |   |
| Senegal, Nioro - 2.1   | Both the state and the local private sector recognise the need to pursue long term development in the agricultural sector. Organized civil society demand are factored in. The transformative path will lead to emerging agricultural power house in West Africa with reliance on strong agro-dealers and satisfactory solutions to consumer preferences  |
| <b>Increased commercial agricultural production supported by successful land reform and improved socio-economic conditions</b> |   |
| South Africa - 2.1   | Agricultural and land reform policies focus on supporting commercial agricultural production and productivity. Better and well-functioning agricultural credit and market services for both established and emerging farmers. Increased uptake of adaptation strategies by commercial farmers.  |
| <b>Higher expectations for agricultural production in the face of continued environmental and socio-economic challenges</b>    |   |
| Namibia -2.1   | Unintended government policy consequences; lack of good farm management practices specifically to biophysical conditions of land lead to small benefit to the livelihood. Labor migration to urban areas, non-agric activities and impact of HIV/AIDS leads also to labor shortage. Agricultural inputs are not affordable for small scale farmers. Increase in poverty levels people become more vulnerable to climate change and other risks  |
| <b>Rice Wheat production under vulneable climatic conditions</b>   |   |
| Pakistan, Rice-wheat Zone of Punjab -2.1   | Agriculture production is very important to ensure food security and provision of employment opportunities to majority of the rural population. Therefore government is committed to support agriculture sector through increased public investment to fulfill the needs of increasing population. Government policy objective is to achieve food security, ensure adequate raw materials for the manufacturing sector and increased export earnings through increased productivity, efficient input use, better market access, infrastructure and service development. A proactive legislation will stipulate land tenure security, incentives for the banking sector and revamp research and extension to promote productivity enhancing technologies for adoption at a larger scale. The adoption process will be instigated due to the anticipated losses in the agricultural productivity in the face of climatic uncertainties. |
| Sri Lanka -  | <b>Government sector plans and policy work for rice sector improvements.</b>  |

|  |  |
|--|--|
| FECT -2.1  | Government aims to improve food security through self sufficiency in rice with framework to promote the rice sector to cope with impacts of variable climate . Government promotes high yielding and drought/flood tolerant rice varieties with policy to encourage the application of organic fertilizers, decreasing the cost on inorganic fertilizers. Government puts more emphasis on improving the agricultural water irrigation/management system to cope with drought conditions.  |
| <b><i>Intermediate adaptation challenge for increased rice production</i></b>  |  |
| Sri Lanka -<br>Kurunegala -2.1   | Government aims to invest more in agriculture, shortage of labor with consequence of decreased population growth and house hold size , Government promote improved cultivar and climate smart technologies but policy to cut down the use of inorganic fertilizer and phase out the fertilizer subsidy . Deteriorating Bio physiacl conditions,low use of inorganic fertilizer,less water, reduced farm size lead to small benefit from improved cultivar  |
| <b><i>Cereals production system under climate change</i></b>   |  |
| North India -<br>IGB -2.1.1  | Climate change has adverse impact on agricultural production system in the Indo-Gangetic region where rice-wheat is the predominant cropping system, which contributes substantially to the national food security. Global trends suggest that rice-wheat production in the region will be adversely affected by climate change. Though the government adopts long-term and short-term policy measures, rice-wheat production costs increase substantially. Imports are inadequate to meet domestic demand. Incentives in the form of assured prices (Minimum Support Prices) are inadequate to enhance agricultural production to meet food demand. Hence, government liberalizes imports of foodgrains, invests in food chain logistics and boost R&D for developing new crop cultivars to boost agricultural production for ensuring food security.   |
| <b><i>Climate change impacts and adaptation strategies for rice wheat production system in Terai region of Nepal</i></b> |  |
| Nepal, Banke -<br>2.1  | Climate change remains as a key challenge for a country like Nepal where subsistence based and rainfed-agriculture system is dominant. Heavy reliance on suitable climatic conditions agricultural production always impose serious risk to the agricultural sector in Nepal. On the other hand, having limited capacity to adapt and respond to the climatic stresses, rural poor farmers in the country face the challenge of adapting to climate change impacts. However the government will prioritize its programs to minimize the loss from climate change impacts and reduce the vulnerability of the people. Along with the support programs such as agricultural insurance and input subsidies, the government efforts and investments will be increased for extending irrigation services, agricultural mechanization, and developing disaster risk management practices. The support on agricultural research, education and extension programs will also be increased for developing and disseminating climate change adaptation agricultural technologies to the farmers which will support them to adapt to the climate change and reduce their vulnerability. |
| South India,   | <b><i>RAPS for TAMIL NADU</i></b>  |

|  |  |
|--|--|
| Tamil Nadu -<br>2.1.1                    | <p>There will be small increase in crop diversity due to the need for combating the climate- and market risks as both of these might become more volatile in the future. Water quality and water availability for agriculture will decrease due to pollution of water bodies, and competition for water from other sources. But water use efficiency in agriculture will increase due to technological progress. Soil quality will decline by small to medium extent, due to pollution, and intensive cultivation caused by shrinking land base for agriculture. Most subsidies are likely to decline while prices of agricultural commodities will increase. Farm size and wage rates will increase. Mechanization and energy use intensity in agriculture will increase. Share of agriculture in overall economy will decrease with increase in inequality. Significant decline in poverty will be associated with decrease in family size and increase in nonfarm income. There will not be significant changes in food imports, while yield of important crops will increase due to technological progress in agriculture. Fertilizer use intensity and fertilizer productivity will increase. Corporate role in agriculture will increase with improved increase in commodity groups.</p> |
| <b><i>Maize production in India</i></b>  |  |
| South India,<br>Andhra Pradesh<br>-2.1.1 | <p>With high cost of production, degraded natural resources profitability in agriculture may further reduced making agriculture unprofitable which requires more opportunities in non agricultural income and increased technological interventions . However,opportunities for massive increases in agricultural production and productivity exist . Use of improved cultivars , mechanization, will be increased and use of critical interventions may lead to increase in productivity and efficien use of resources</p>  |

Table 4. AgMIP Regional Research Teams RAPs Trends Table (Note: teams are updating RAPs so this will be updated)

Sub Saharan Africa teams

| Variable                     | CLIP – R1 Zimb | CLIP – R2 Zimb | CLIP – R1 Mozamb | CLIP – R2 Mozamb | East Africa Embu, KE | West Africa R1 Nioro | West Africa R2 Nioro | SAAMIP South Africa | SAAMIP Namibia |
|------------------------------|----------------|----------------|------------------|------------------|----------------------|----------------------|----------------------|---------------------|----------------|
| Soil degradation             | ↘              | ↗              | ↗                | ↘                | ↗                    | ↗                    | ↘                    | ↗                   | ↗              |
| Pest and diseases            | ●              | ●              | ●                | ●                | ↗                    | ●                    | ●                    | ●                   | ●              |
| Extreme events               | ↗              | ↗              | ●                | ●                | ↗                    | ●                    | ●                    | ●                   | ●              |
| Water availability           | ●              | ●              | ●                | ●                | ↘                    | ●                    | ●                    | ↘                   | ↘              |
| Farm size                    | ↘              | ↘              | →                | →                | ↘                    | ↗                    | ↗                    | ↗                   | ↘              |
| Household size               | ↗              | →              | →                | →                | ↗                    | ↘                    | ↘                    | ●                   | ↘              |
| Herd size                    | ↗              | ↘              | →                | ↗                | ●                    | ↗                    | ↘                    | ●                   | ●              |
| Livestock Productivity       | ↗              | ↘              | →                | ↗                | ●                    | ↗                    | ↗                    | ●                   | ●              |
| Fertilizer prices            | →              | ↗              | ↘                | ↘                | ↗                    | →                    | →                    | ●                   | ●              |
| Fertilizer use               | ↗              | ↘              | ↘                | ↗                | ↗                    | ●                    | ●                    | ↗                   | ↗              |
| Subsidies (inputs)           | ↗              | →              | →                | →                | ●                    | →                    | ↘                    | ●                   | ●              |
| Off-farm income              | ↘              | ↘              | ↗                | ↗                | ↗                    | ↗                    | ↗                    | ↗                   | ↘              |
| Improved crop use            | ↗              | ↘              | →                | ↗                | ●                    | ↗                    | ↗                    | ↗                   | →              |
| Information availability     | ●              | ●              | ●                | ●                | ↗                    | ●                    | ●                    | ●                   | ●              |
| Public invest in Agriculture | ↗              | →              | ↘                | ↗                | ●                    | ●                    | ●                    | ↗                   | →              |
| Labor availability           | →              | ↘              | ↗                | ↗                | ↘                    | ●                    | ●                    | ●                   | ●              |

| Direction and magnitude               |   |
|---------------------------------------|---|
| No change                             | → |
| Small increase                        | ↗ |
| Moderate increase                     | ↗ |
| Large increase                        | ↗ |
| Small decrease                        | ↘ |
| Moderate decrease                     | ↘ |
| Large decrease                        | ↘ |
| Not included in RAP or under revision | ● |

Table 4. (cont.). South Asia teams

| Variable                     | Pakistan | Sri Lanka - FECT | Sri Lanka - Kuneg | IGB North India | IGB Nepal | South India TNAU | South India ANGRAU |
|------------------------------|----------|------------------|-------------------|-----------------|-----------|------------------|--------------------|
| Soil degradation             | ↗        | ↗                | ↗                 | ↗               | ↗         | ↗                | ↗                  |
| Pest and diseases            | ↗        | ●                | ●                 | ●               | ↗         | ↘                | ↗                  |
| Extreme events               | ↗        | ↗                | ●                 | ●               | ↗         | ●                | ↗                  |
| Water availability           | ↘        | ●                | ↘                 | ●               | ●         | ●                | ↗                  |
| Farm size                    | ↗        | ●                | ↗                 | ↘               | ↗         | ↗                | ↗                  |
| Household size               | ↗        | ↗                | ↗                 | ↘               | ●         | ↗                | ●                  |
| Herd size                    | ↗        | ●                | ●                 | ●               | ●         | ●                | ↗                  |
| Livestock Productivity       | ●        | ●                | ●                 | ●               | ●         | ●                | ●                  |
| Fertilizer prices            | ↗        | ↗                | ↗                 | ●               | ↗         | ↗                | ↗                  |
| Fertilizer use               | ↗        | ●                | ●                 | ●               | ●         | ●                | ↘                  |
| Subsidies (inputs)           | ↗        | ↘                | ↘                 | ↗               | ↗         | ↗                | ↘                  |
| Off-farm income              | ↗        | ●                | ●                 | ●               | ↗         | ↗                | ↗                  |
| Improved crop use            | ↗        | ↗                | ↘                 | ●               | ↗         | ↗                | ↗                  |
| Information availability     | ↗        | ↗                | ●                 | ↗               | ↗         | ●                | ↗                  |
| Public invest in Agriculture | ↗        | ↗                | ↗                 | ●               | ↗         | ●                | ↘                  |
| Labor availability           | ↗        | ↘                | ↘                 | ●               | ↘         | ↘                | ↘                  |

| Direction and magnitude               |   |
|---------------------------------------|---|
| No change                             | → |
| Small increase                        | ↗ |
| Moderate increase                     | ↗ |
| Large increase                        | ↗ |
| Small decrease                        | ↘ |
| Moderate decrease                     | ↘ |
| Large decrease                        | ↘ |
| Not included in RAP or under revision | ● |

Table 5. RAPS development process, challenges and outcomes

| TEAM                      | Challenges, issues  | What worked, Positive outcomes?  | What are the next steps  |
|---------------------------|---|--|--|
| IGB<br>India              | RAPS development process requires lot of patience to identify really important issues/ variables with help from a diverse group of stakeholders who often have divergent views/ opinions.   | The feedback from the scientists in the first meeting was very logical. During the second meeting, the stakeholders appreciated the process and utility of developing RAPS for likely scenario of farming systems under climate change   | The district level RAPS is being finalized and, if approved, the team plans to update the RAPS for regional level (covering whole IGB)   |
| South<br>India            | <p>Visualising specific scenario based RAPS</p> <p>Disciplinary bias, personal convictions of experts, visualising scenario based future outcomes, anticipating policy changes system changes were major challenges to arrive at a consensus</p> <p>Narrowing perception differences between farmers who concentrate on short term variability issues and expert and stakeholder views on climate change</p>  | <p>Identification variables likely to be impacted, general directions and magnitudes of change from literature</p> <p>Able to reach consensus on major variables likely to be impacted, their direction and magnitudes of change with levels of agreements and convictions</p> <p>RAPS finalized. Participants were initially asked for their views and later presented with earlier RAPS drafted by experts. In most cases general directions of change coincided and magnitudes were also more or less similar</p> | <p>Arrangements for RAPS meet with interdisciplinary scientists</p> <p>Arrangements for wider stakeholders meet along with interdisciplinary scientists and farmers</p> <p>Incorporation of variables identified into integrated climate change impact assessment of agricultural production systems</p> |
| East<br>Africa<br>(Kenya) | <p>In the initial stages, it was difficult to identify the variables in each category. The broad categorization (grouping) of variables helped us. However, coming up with the relevant variables for each category was the biggest challenge. At the end we managed to agree on the variables we used in our RAP</p> <p>The first challenge was identifying experts with interest on climate change issues. The biggest challenge however was agreeing on the magnitude and directions of the different variables. The experts also helped with addition of few variables not included in the initial stage. Agreements on the general direction were relatively easier but agreeing on magnitude was quite difficult.</p> | <p>We managed to agree on the variables, and the research team appreciated the importance of RAPS. This enabled us to move to the next stage.</p> <p>Disagreement, especially on the magnitude of change was pronounced in this meeting, but finally we managed to agree on all the variables we had identified. Experts also helped with identification of more variables.</p>  | <p>Maintain the same research team for phase II (if its there)</p> <p>If there is any extension of the project, then we will have new RAPS for the new localities we will be working in.</p>   |

The challenge was first explaining to stakeholders on why we took the direction of RAPS. Some wanted to know whether it had been applied else where. Once this was clear, there were disagreements with magnitude and direction of some variables such as farm size. However at the end, there was consensus and every one appreciated the effort.

We managed to explain to stakeholders why RAPS were necessary and they were able to appreciate our efforts in the whole process

We promised to share the RAP with stakeholders so they can give us any extra inputs if they have.

|                           |   |   |   |
|---------------------------|---|---|---|
| CLIP                      | <p>RAPS were a new concept, with no previous experience within the project team and limited support from other scientists. We used the comparison of RAPS for 2 scenarios and expanded the list of variables to verify the projections as perceived by the stakeholders. Economic leadership feedback was very useful (although a bit late), and highlighted the need for additional expertise to verify consistency and plausibility. Stakeholders differentiation of future scenarios with and without climate change was not possible - those margins had to be incorporated later, based on experts estimations. A limitation might be that the African socio-political systems are very dynamic and often of poor governance structures - assumptions and therewith the percentages of change can change dramatically.</p> | <p>To work within a limited budget we had decided for structured discussion with few knowledgeable stakeholders (mostly government staff at provincial level) to assess the RAPS, rather than participatory multi-stakeholder workshop. The approach proved to be effective. Few variables were also verified through private sector, e.g. expected price trends. The discussions were engaged and stimulated further thinking about the complexity, causes and effects of policy interventions on farming systems. It provided valuable information on the socio-economic context, challenges and investments, useful also for other projects.</p> | <p>The same approach was implemented in Mozambique - the final review is outstanding. In Malawi RAPS still need to be assessed. Cross country comparison should give valuable insights on context specificity and complexity of development pathways.</p>                                   |
| SAAMIP<br>Namibia         | <p>The challenge was to explain the abstract concept of scenario development with the stakeholder, and also there was tendency of to influence on the expert area (E.g plant sicence, look at from his expert area). Since Nam is known by livestock the stakeholder prefere to see the impact of CC on livestock and rangeland</p>   | <p>Each participant contributed positively to the discussion and look the future objectivity</p>  | <p>For Namibia for this phase of the project what we have collected is final, for future we hope to include more RAPS</p>   |
| Sri Lanka<br>Rice<br>Team | <p>There was a challenge of getting views of all, since large number of experts and stakeholders had been invited for certain meetings. However, this was overcome by having group discussions. Since experts of different discipline have been put together every one tried to show that variable fall into own discipline will be affected more than other variables. It was difficult to come to an agreement on the exact magnitute of specific variables and highlighted the need for comprehensive investigations.</p>  | <p>Third and fifth meetings were very successful since only selected experts and stakeholders only from the rice sector had been invited. Other thing is that experts and stake holders had come with preparation and back ground information. Got the oppertunity to discuss in detailed the future planning with respect to adapt to climate change even taking in to consideration the traditional knowledge and system approach.</p>  | <p>It was expected to review this RAPS further and to develop other possible RAPS to address these challengers. It was also very much hilighted the need for development of comprehensive related data-base for region/crop/farming system specific information for precise preditions.</p> |

|           |  |  |   |
|-----------|--|--|---|
| IGB-Nepal | Identifying the key variables affecting the production system, estimating their direction and magnitude of change in the future and incorporating their effect in the production system is challenging.  | Review of the past trends and future projections of climate, technology development and production trends and socio-economic developments (labour issues, input and output price) and interaction with the multidisciplinary experts and stakeholders was useful to identify and estimate the direction of changes in key variables affecting production system.   | Need further review of RAPs with consultations with the research team members and multidisciplinary experts to refine the various variables taken into consideration for the production system analysis.  |
| Pakistan  | <p>1). Identification of the socio-economic, agronomic and management variables that stakeholders (policy makers, researchers, farmers etc.) could use as adaptation option(s) and then try to assess the aggregate effect of these options as a package on future agriculture system in the face of climate change</p> <p>2). Unavailability of region specific ex-ante analytical impact assessments studies for cropping system and livestock thus the regional team has to create a base line scenario for generating future scenarios which was difficult sometimes in adjusting the trend factors for different socio-economics and other indicators.</p> <p>3). Minor activities and Livestock (meat and milk) were included in the RAPs without modeled data (like IMPACT trends). For the future, such type of modeled estimates are required for generating regional level RAPs and making them consistent with the global level RAPs.</p> | <p>1). A multi-disciplinary team of scientists (economist, plant breeders, irrigation specialist, soil scientist, agronomist, policy maker, progressive farmers, extensionist, and other experts) was established.</p> <p>2). Based on the draft narrative key parameters, comprehensive RAPs package was developed by involving the key stakeholders in the process. The draft of RAP was given to experts, researchers and project team for their insight after discussion with their respective colleagues. Thus it helped in determining the direction and extent of the impacts imparted by these adaptation practices</p> <p>3). Final regional RAPs were used by crop and economic modeling teams for scenario development, parameters and trends quantification.</p> <p>4). These regional RAPs developed by AgMIP-Pakistan team for rice-wheat cropping system could be used for other impact assessment studies in future.</p> | <p>1). Alternative RAPs would be developed and their possible impacts would be analyzed.</p> <p>2). For the mixed, cotton-wheat and rain-fed cropping zones, RAPs will also be developed and impacts will be assessed. Alternative RAPs would be developed for these cropping zones and comparisons of these RAPs could also be made for best RAPs selection.</p> <p>3). Continuous feedback from the policy makers and other stakeholders will be sought in order to refine the adaptation packages and quantifying their impacts.</p> <p>4). Different meetings have been planned to be organized at Food and Agriculture Wing, Planning Commission Islamabad, Punjab Economic Research Institute Lahore, and in other educational and research institutes of the Province.</p> |

Source: AgMIP Regional Research Teams